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J1031 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))		Attorney Docket No.	N00411/70000 (PCL)
		First Named Inventor or Application Identifier	
		Express Mail Label No.	EL844531525US
		Date of Deposit	December 19, 2001

J1040 U.S. PTO
10/25/01
12/19/01

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents		ADDRESS TO: Box Patent Application Commissioner for Patents Washington, DC 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)	8. <input type="checkbox"/> CD-ROM or CD-R, in duplicate, large table or Computer Program (Appendix)	ACCOMPANYING APPLICATION PARTS
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. <input type="checkbox"/> Statement filed in prior application, Status still proper and desired.	9. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies 	
3. <input checked="" type="checkbox"/> Specification [Total pages 16] 10 - pages description 1 - pages abstract 5 - pages claims 31 - Total claims	10. <input checked="" type="checkbox"/> Assignment Papers/cover sheet & documents(s)	
4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total sheets 4] <input checked="" type="checkbox"/> Informal <input type="checkbox"/> Formal [Total drawings 4]	11. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney	
5. <input checked="" type="checkbox"/> Oath or Declaration [Total pages 3] <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) [Note Box 6 below] i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 	12. <input type="checkbox"/> English Translation of Document (if applicable)	13. <input checked="" type="checkbox"/> Information Disclosure Statement PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations
6. <input type="checkbox"/> Incorporation by Reference (usable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. See 19.	14. <input type="checkbox"/> Preliminary Amendment	14. <input type="checkbox"/> Preliminary Amendment
7. <input type="checkbox"/> Application Data Sheet, See 37 CFR 1.76	15. <input type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	15. <input type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
	16. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)	16. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
	17. <input type="checkbox"/> Request and Certification Under 35 U.S.C. §122(b)(2)(B)(ii)	17. <input type="checkbox"/> Request and Certification Under 35 U.S.C. §122(b)(2)(B)(ii)
	18. <input type="checkbox"/> Other:	18. <input type="checkbox"/> Other:

19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below or in the body of the application, or a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. : , filed on , entitled and now .

☐ Cancel in this application claims before calculating the filing fee.

☐ Amend the specification by inserting before the first line of the specification on page 1, after the title, the following:

-- This application is a ☐ Continuation ☐ Continuation -in-part (CIP) of prior application No: , file on , entitled , and now .

Prior application information: Examiner

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS ONLY: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

20. CORRESPONDENCE ADDRESS

Correspondence address below

CUSTOMER NUMBER:



23628

OR

ATTORNEY'S NAME	Peter C. Lando, Reg. No. 34,654				
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21. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Peter C. Lando, Reg. No. 34,654
SIGNATURE	
DATE	December 19, 2001

Inventor or Identifier:

Serial No: Not yet assigned

Filed: Herewith

CHECK BOX, if applicable:

For: SYSTEMS AND METHODS FOR POLYMER ADDITION
CONTROL FOR WATER TREATMENT

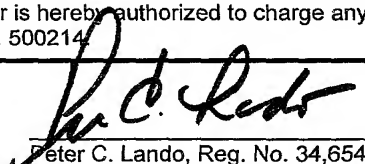
☐ **DUPLICATE**

Fee Calculation Sheet

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
	TOTAL CLAIMS (37 CFR 1.16(c))	31-20=	11 x	\$18	= \$ 198.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	4-3=	1 x	\$80	= \$ 80.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +			\$260	= \$
				BASIC FEE (37 CFR 1.16(a))	\$ 710.00
	Total of above Calculations =				\$ 988.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$
	Assignment Recordation Fee (if any)				\$ 40.00
	Other Fees (if any).				\$
	TOTAL =				\$ 1028.00

1. Please charge filing fee to Deposit Account No. 500214 in amount of \$1028.00.

General Authorization to Charge Deposit Account and General Request for Extension of Time	
2. a. <input checked="" type="checkbox"/>	If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ 1.16 <input type="checkbox"/> 1.17, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 500214.
b. <input type="checkbox"/>	The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ 1.16 <input type="checkbox"/> 1.17 or <input type="checkbox"/> 1.18.
3.	If the filing of any paper in this application necessitates an extension of time under 37 CFR §1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 500214.


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